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Why Gratitude Enhances Well-Being: What We Know, What We Need to Know

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5 Gratitude is held in high esteem by virtually everyone, at all times, in all places. From ancient religious scriptures through modern social science research, gratitude is advanced as a desirable human characteristic with the capacity for 10 making life better for oneself and for others. Though gratitude is associated with pleasantness 11 and highly desirable life outcomes, it is certainly 12 not an easy or automatic response to life situations. Resentment and entitlement often seem to come naturally. Individual personality flaws such as neuroticism or narcissism make it difficult to recognize the positive contributions of others. The very fact that gratitude is a virtue suggests that it must be deliberately cultivated. Like any 19 virtue, it must be taught, or at least modeled, and practiced regularly, until it becomes, in an 21 Aristotelian sense, a habit of character. A grateful 22 person is one who is prone to react to the goodness of others in a benevolent and receptive fashion, reciprocating kindness when opportunities arise. The grateful person has been able to overcome tendencies to take things for granted, to feel entitled to the benefits they have received, and to take sole credit for all of their advantages in life. They are able to gladly recognize the

contributions that others have made to their 31 well-being. Further, they are able to discern 32 when it is appropriate to express gratitude and 33 are not overly concerned with exacting gratitude 34 from those whom *they* benefit. 35

What have we learned about gratitude and the 36 grateful personality? First, a definition: Gratitude 37 is an acknowledgment that we have received 38 something of value from others. It arises from a 39 posture of openness to others, where we are able 40 to gladly recognize their benevolence. Societies 41 through the ages have long extolled the benefits 42 of gratitude, and classical writings have deemed 43 it the "greatest of the virtues." But only recently 44 has psychological theory and research on grati- 45 tude begun to catch up with philosophical commendations. In the first part of this chapter, 47 we review research on gratitude and positive 48 human functioning. First, we briefly consider the 49 research on gratitude and well-being. After a 50 consideration of this evidence, we explore the 51 mechanisms by which gratitude enhances well- 52 being. We consider several explanations and 53 evaluate the empirical evidence for each. In the 54 latter part of the chapter, we establish an agenda 55 for the future by considering some ways in 56



1 which the scientific field of gratitude can be 2 advanced.

3 Gratitude and Well-Being: Taking Stock

Gratitude is foundational to well-being and mental health throughout the lifespan. From childhood to old age, accumulating evidence documents the wide array of psychological, physical, and relational benefits associated with gratitude. In the past few years, there has been an accumulation of scientific evidence showing the contribution of gratitude to psychological and social well-being (Emmons & McCullough, 2003; McCullough, Kilpatrick, Emmons, & Larson, 2001; Wood, Froh, & Geraghty, 2010). Gratitude has been shown to contribute to not 15 only an increase in positive affect and other desirable life outcomes but also to a decrease in negative affect and problematic functioning as demonstrated in diverse samples such as among patients with neuromuscular disease, college 20 students, hypertensives, and early adolescents 21 (Emmons & McCullough, 2003; Froh, Sefick, & 22 Emmons, 2008; Shipon, 2007). 23

Based on Rosenberg's (1998) hierarchical levels of affective experience, gratitude has been identified as a trait, emotion, and mood. The grateful disposition can be defined as a stable affective trait that would lower the threshold of experiencing gratitude. As an emotion, gratitude can be understood as an acute, intense, and relatively brief psychophysiological reaction to being the recipient of a benefit from an other. Lastly, as a stable mood, gratitude has also been identified to have a subtle, broad, and longer-duration impact on consciousness (McCullough, Tsang, & Emmons, 2004). Both state and dispositional gratitude have been shown to enhance overall psychological, social, and physical well-being. Gratitude promotes optimal functioning at multiple levels of analysis—biological, experiential, personal, relational, familial, institutional, and even cultural (Emmons & McCullough, 2004).

Two main measures have been administered to assess dispositional gratitude: the six-item Gratitude Questionnaire (GQ; McCullough, Emmons, & Tsang, 2002), and the 44-item Gratitude Resentment and Appreciation Test or the GRAT (Watkins, Grimm, & Hailu, 1998). The GQ-6 measures dispositional gratitude as a generalized tendency to recognize and emotionally respond with thankfulness, after attributing benefits received to an external moral agent

(Emmons, McCullough, & Tsang, 2003). The 53 44-item GRAT form measures three dimensions 54 of gratitude: resentment, simple appreciation, 55 and appreciation of others (Watkins et al., 56 1998). Beyond these scales to assess gratitude, 57 other measures include personal interviews 58 (Liamputtong, Yimyam, Parisunyakul, Baosoung, 59 & Sansiriphun, 2004), rating scales (Saucier & 60 Goldberg, 1998), and other self-report measures 56 such as free response (Sommers & Kosmitzki, 62 1988) and personal narratives (Kashdan, Mishra, 63 Breen, & Froh, 2009).

Dispositional gratitude has been shown to 65 uniquely and incrementally contribute to subjec- 66 tive well-being (McCullough et al., 2004; Watkins, 67 Woodward, Stone, & Kolts, 2003; Wood, Joseph, 68 & Maltby, 2008) and to benefits above and 69 beyond general positive affect (Bartlett & DeSteno, 2006). Dispositional gratitude has also 71 been found to be positively associated with proso-72 cial traits such as empathy, forgiveness, and willingness to help others (McCullough et al., 2002). People who rate themselves as having a grateful 75 disposition perceived themselves as having more prosocial characteristics, expressed by their empathetic behavior, and emotional support for friends 78 within the last month. Similar associations have 79 been found between state gratitude and social 80 well-being (Emmons & McCullough, 2003).

While gratitude has been studied as trait, it has 82 also been studied as a state—feeling grateful and 83 equivalent states (appreciation, thankfulness) at 84 the moment. State gratitude has been experimentally activated through the self-guided exercise of journaling. In the first study examining the benefits of experimentally induced grateful thoughts on psychological well-being in daily 89 life, a gratitude induction was compared to a 90 hassles and a neutral life events condition 91 (Emmons & McCullough, 2003). The cultivation 92 of grateful affect through daily and weekly journaling led to overall improved well-being, including fewer health complaints and a more 95 positive outlook toward life. Participants in the 96 gratitude condition also reported more exercise 97 and appraised their life more positively compared to participants in the hassles and neutral 99 conditions. Furthermore, in a study examining 100 the contribution of gratitude in daily mood over 101 21 days, gratitude was strongly associated with 102 spiritual transcendence and other positive affec- 103 tive traits (e.g., extraversion) (McCullough et al., 104 2004). In the past few years, a number of laboratory and research-based intervention studies 106 have also been examining the positive impact of 107



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gratitude-induced activities (e.g., the gratitude visit, gratitude letter) on psychological well-being, including happiness, depression, and materialism (Bono, Emmons, & McCullough, 2004; Lyubomirsky, Sheldon, & Schkade, 2005; McCullough et al., 2004; Seligman, Steen, Park, & Peterson; 2005; Watkins, 2000).

Given the emerging strong association between gratitude and well-being, an important step becomes exploring the reasons for this relationship. What are the mechanisms respon-11 sible for why gratitude promotes well-being? A number of possible explanations have been suggested; however, not all of them have been fully investigated. In the next section, we examine several explanations for the relation between gratitude and well-being, some of which stem 17 from new research from our laboratory that is 18 relevant to these hypotheses. 19

Hypothesis 1: Gratitude FacilitatesCoping with Stress

Pondering the circumstances in one's life for 22 which one is grateful appears to be a common way of coping with both acute and chronic stress-24 ful life events. Our first hypothesis is that grati-25 tude improves well-being by providing useful coping skills for dealing with losses. These 27 include building a supply of more positive 28 thoughts, increasing the focus on benefits in life 29 and on others, and reducing the maladaptive 30 focus on losses (Fredrickson, 2004; Watkins, 31 2000). For example, gratitude has been associated 32 with distinct coping styles of seeking social 33 support, positive reframing, approach-oriented 34 problem solving, and active coping (Wood, 35 Joseph, & Linley, 2007). The coping styles linked 36 with gratitude might be based on the recognition 37 of benefits, stronger social bonds, prosocial motivation, and the evolutionary adaptation of gratitude as an emotion for regulating reciprocal 40 altruism (McCullough et al., 2001; McCullough, 41 Kimeldorf, & Cohen, 2008; Trivers, 1971). In the past few years there has been growing empirical evidence for gratitude's association with coping and post-traumatic growth (Peterson, Park, Pole, 45 D'Andrea, & Seligman, 2008). 46

One of the first studies examining the benefits of psychological strengths on well-being in combat veterans found that, compared to veterans with Post-Traumatic Stress Disorder (PTSD), veterans without PTSD reported more dispositional gratitude on the GQ-6 (Kashdan, Uswatte, & Julian, 2006). Gratitude also emerged as one of

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the strongest themes for quality of life (toward 54 the donor, their families, and the renal team) in a 55 sample of kidney transplant recipients, followed by long-lasting psychosocial effects on the recipients (Orr, Willis, Holmes, Britton, & Orr, 2007). 58 In a prospective study examining college students in the aftermath of the September 11 terrorist attacks, gratitude emerged as one of the 61 primary themes and contributed to resilience 62 and post-crisis coping (Fredrickson, Tugade, 63 Waugh, & Larkin, 2003). Lastly, a recent study 64 including undergraduate women with trauma 65 history showed strong associations between 66 gratitude (measured by a four-item post-trauma 67 gratitude scale including the items "fortunate," "grateful," "appreciated life," and "relieved") 69 and emotional growth (r = .43, p < .001). Most 70 importantly, gratitude after trauma was negatively associated with PTSD symptom levels 72 (r = -.18, p < .05) (Vernon, Dillon, & Steiner, 73 2009). Therefore, the evidence strongly supports the supposition that gratitude promotes adaptive 75 coping and personal growth.

Hypothesis 2: Gratitude Reduces Toxic Emotions Resulting from Self and Social Comparisons

Another possible explanation for the relation 80 between gratitude and well-being is that grateful individuals are less likely to engage in upward social comparisons that can result in envy or resentment, or self-comparisons with alternative outcomes in one's own life that can result in regret. Either type of these invidious comparisons can cause people to feel that they lack something important that either others have or that 88 they desire for themselves. Envy is a negative 89 emotional state characterized by resentment, 90 inferiority, longing, and frustration about other 91 people's material and non-material successes 92 (Parrott & Smith, 1993). Considerable research 93 has shown that envy creates unhappiness and is 94 associated with a host of negative mental health 95 indicators (Smith & Kim, 2007). As gratitude is a 96 focus on the benevolence of others, it is incompatible with envy and resentment, as the grateful person appreciates positive qualities in others 99 and is able to feel happy over the good fortune 100 that happens to others (Smith, Turner, Leach, 101 Garonzik, Urch-Druskat, & Weston, 1996). 102 Grateful people, who tend to focus on the posi- 103 tive contributions of others to their well-being, 104 probably devote less attention to comparing their outcomes with those of other people and thus





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experience less envy as a result. Using Smith, Parrott, Diener, Hoyle, & Kim's (1999) measure of dispositional envy and the envy subscale of Belk's materialism scale (Ger & Belk, 1996 McCullough et al., 2002) reported moderate negative correlations (ranging from -.34 to -.40) between gratitude and envy. Furthermore, the correlations between trait gratitude and envy remained significant after controlling for positive affect, negative affect, and agreeableness. Grateful people do experience less frustration and resentment over the achievements and possessions of other people, and the overlap between gratitude and envy is not produced by their common bond with trait affect.

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Regret is a counterfactual emotion produced by perceptions of what might have been. In regret, some action, event, or state of affairs is construed as "unfortunate" and contrasted with some more propitious alternative that "might have been" (Roberts, 2004). In that it is a form of welling on the negative, regret generates related unpleasant states of anxiety, unhappiness, and even depression (Isenberg, 2008; Landman, 1993). There is no empirical evidence that directly tests the hypothesized linkage between regret and gratitude, though the opposing causal attributions that give rise to gratitude versus regret have been well-established (Weiner, 2007). It is likely that the dispositionally grateful have a firewall of protection against incapacitating regrets because they are inclined to dwell on the favorable, rather than the regrettable, in life (Roberts, 2004). By appreciating the gifts of the moment, gratitude offers freedom from past regrets. While a promising hypothesis, more research is needed before we can draw definitive conclusions concerning this hypothesis.

Hypothesis 3: Gratitude Reduces 39 Materialistic Strivings

Gratitude and materialism represent opposing motivational goals. Gratitude may aid well-being by motivating people to fulfill basic needs of personal growth, relationships, and communitymotives that are incompatible with materialism (Polak & McCullough, 2006). As a route to the 46 bolstering of well-being, gratitude may block 47 materialistic pursuits. Materialism is damaging to subjective well-being. Materialistic adults tend to exhibit life dissatisfaction (Richins & Dawson, 1992); unhappiness (Belk, 1985; Kasser & Kanner, 2004); low self-esteem (Kasser, 2003); less concern with the welfare of others

(Sheldon & Kasser, 1995); less relatedness, 54 autonomy, competence, and meaning in life 55 (Kashdan & Breen, 2007); and higher levels of 56 depressive symptoms (Kasser & Ryan, 1993) and 57 envy (Belk, 1985). Materialistic adults are less 58 satisfied with their standards of living, family 59 lives, and the amount of fun and enjoyment they 60 experience (Richins & Dawson, 1992).

Gratitude is most closely related to the values of benevolence, an orientation characterized by "the preservation and enhancement of the welfare of people with whom one is in frequent per- 65 sonal contact" (Bilsky & Schwartz, 1994, p. 167) and universalism, defined as "understanding, 67 appreciation, tolerance, and protection for the 68 welfare of all people and for nature" (Bilsky & 69 Schwartz, 1994, p. 167). Furthermore, in the 70 Values-in-Action taxonomy of human strengths 71 (Peterson & Seligman, 2004), gratitude is one of 72 the five strengths that falls under the broader 73 virtue of transcendence. These value orientations 74 are diametrically opposed to power ("social status 75 and prestige, control or dominance over people and 76 resources") (p. 167) and hedonism (pleasure and 77 sensuous gratification for oneself") (p. 167), 78 which likely are the two values in this theory 79 most aligned with materialism. Values theory would therefore predict a negative correlation 81 between gratitude and materialism on the grounds 82 that they represent opposing value systems.

Evidence suggests that gratitude can reduce 84 the pernicious effects of materialism on wellbeing. Grateful people report themselves as being less materialistic and are less likely to define personal success in terms of material accomplishments and possessions (McCullough et al., 2002). In particular, grateful people report being more 90 willing to part with their possessions, more generous with them, less envious of the material 92 wealth of others, less committed to the idea that 93 material wealth is linked with success in life, and 94 less convinced of the idea that material wealth 95 brings happiness. Using structural equation 96 modeling, Froh, Emmons, Card, Bono, & Wilson 97 (in press) found that gratitude mediates the relation between materialism and well-being. Apparently, material success is not a very important factor in the happiness of highly grateful 101 people, so this hypothesis has received considerable support.

Hypothesis 4: Gratitude Improves Self-Esteem

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Self-esteem has emerged as a powerful correlate 105 of happiness (e.g., Denny & Steiner, 2009; 106







1 Lyubomirsky, Tkach, & DiMatteo, 2006; Walker & Schimmack, 2008). Gratitude might be important because focusing on receiving benefits from benefactors might enhance self-esteem and self-respect. This hypothesis has not been extensively tested, but the data that do exist are supportive. For example, grateful youth report high levels of self-esteem (Froh, Wajsblat, & Ubertini, 2008). They also report high levels of self-satisfaction concurrently (Froh et al., 2008, 2008; Froh, Yurkewicz, & Kashdan (2009) and 11 three and six months later (Froh et al., 2008). Grateful people, in focusing on how their lives 13 are supported and sustained by others, might feel more secure and are therefore less likely to seek material goods to strengthen their selfimage. Grateful people may also have more 17 stable self-esteem that is less contingent upon 18 transient success and failure experiences, con-19 tributing to their ability to cope with stress, 20 as discussed in Hypothesis 1. We do not yet 21 know, however, the direction of the relation. It may be that high self-esteem leads to more feel-23 ings of gratitude because it makes it more likely 24 that the person will respond positively to the 25 benevolence of others. Conversely, it may be that 26 feelings of gratitude produce more positive self-27 construals. Future research will have to decide this sequence. 29

Hypothesis 5: Gratitude Enhances 30 Accessibility to Positive Memories 31

Gratitude has also been shown to contribute to 32 well-being by boosting the retrieval of positive 33 autobiographical memories. Grateful people are 34 characterized by a positive memory bias 35 (Watkins, Grimm, & Kolts, 2004). This positivity 36 bias extends to both intentional and intrusive 37 positive memories. These findings were reliably replicated in a subsequent study by the authors after controlling for depression. In a more recent 40 study by Watkins, Cruz, Holben, & Kolts (2008), 41 the reappraising benefit of gratitude on memory 42 was shown to promote successful closure of unpleasant open memories, ultimately contributing to happiness. Therefore, gratitude enhances 45 the retrievability of positive experiences by 46 increasing elaboration of positive information. The positive impact of gratitude on memory was further confirmed in a study by Watkins et al. (2008). The grateful reappraisal of upsetting memories was shown to promote better emotional processing and closure of the upsetting open memories.

Future research could examine the influence of 54 gratitude on the construction of self-construals. These construals might subsequently impact 56 appraisals of autobiographical memories. According to Ross (1989), implicit theories of personal 58 attributes can influence the retrieval of selfconstrual and facilitate biased recall. Furthermore, 60 the perception of self can change (or remain relatively stable) over time (Ross, 1989). The role of 62 gratitude in influencing construal of life histories might be tested both for state and trait gratitude. People high on trait gratitude may be 65 better able to retrieve more positive personal life 66 experiences compared to less grateful individu- 67 als. The effect of experimentally induced grati- 68 tude on the quality of autobiographical memories 69 (e.g., positive-negative valence of the memories, 70 perception of negative life events) could also be 71 investigated.

Hypothesis 6: Gratitude Builds Social Resources

Gratitude may contribute to overall well-being 75 by enhancing social relationships. Gratitude has 76 been linked in a variety of ways to positive interpersonal functioning. Gratitude facilitates the 78 building of social resources by broadening the 79 thought action repertoire (i.e., via initiation of friendships or consideration of a wide range of 81 strategies by the beneficiary as a form of repayment) (Fredrickson, 2004, pp. 150). Moreover, 83 besides building new bonds, gratitude also helps strengthen and maintain existing relationships (Algoe, Haidt, & Gable, 2008) and fosters trust (Gino & Schweitzer, 2008). Grateful people possess a number of resources that make them 88 desirable friends and romantic partners. They are extraverted, agreeable, empathic, emotionally stable, forgiving, trusting, and generous 91 (McCullough et. al, 2002; Wood et al., 2008). 92 Further, gratitude is a strength of character that 93 is highly desired in romantic partners (Steen, 94 Kachorek, & Peterson, 2003).

From an attachment perspective, gratitude has 96 been shown to promote social bonds since it is 97 closely associated with attachment security. In a 98 sample of Israeli undergraduates, attachment 99 security uniquely contributed to the grateful disposition over and beyond the association of 101 attachment security with self-esteem or trust 102 (Mikulincer, Shaver, & Slav, 2006). In a subse- 103 quent study the link between trait gratitude and 104 attachment security was examined in context of new marital relationships. For both husband and 106





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wife, the perceived positive behavior of the partner was strongly associated with greater gratitude toward the partner on a particular day (Mikulincer et al., 2006, pp. 203). The link is not limited to newlyweds. In a sample of older adults, greater social support from adult children was found to be related with a higher sense of gratitude (Dahua, Yan, & Liqing, 2004).

The social benefits of gratitude can also be construed in terms of the affect theory of social exchange proposed by Lawler (2001). This theory proposes that positive emotions generated by social exchange partners lead to social cohesion and strengthening of social networks. Therefore, by promoting prosocial behavior, building social resources, fostering trust, attachment security, and social exchange, gratitude is a vital interpersonal emotion, the absence of which undermines social harmony.

Hypothesis 7: Gratitude MotivatesMoral Behavior

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Gratitude is an essential part of creating and 22 sustaining positive social relations. One way that gratitude sustains personal relationships is 24 that it motivates moral behavior-action that 25 is undertaken in order to benefit another. McCullough et al. (2001) proposed that gratitude 27 possesses three psychological features that are 28 relevant to processing and responding to proso-29 cial behavior: It is a benefit detector as well as both a reinforcer and motivator of prosocial 31 behavior. In this functional account, gratitude is more than a pleasant feeling. Gratitude is also 33 motivating and energizing. It is a positive state 34 of mind that gives rise to the "passing on of the 35 gift" through positive action. As such, gratitude 36 serves as a key link in the dynamic between receiving and giving. While a response to kindnesses received, gratitude drives future benevolent actions on the part of the recipient. In the 40 language of evolutionary dynamics, gratitude 41 leads to "upstream reciprocity" (Nowak & Roch, 2007), the passing on of a benefit to a person uninvolved in the initial exchange. Part of gratitude's magnetic appeal lies in its power to evoke a focus by the recipient on the benevolence of 46 others, thereby ensuring a perception that kindness has been offered, and its beneficial consequences that frequently are the motive to respond favorably toward another. The idea that the capacity to receive and be grateful fosters the desire to return goodness is theoretically compelling and empirically viable.

Recent experimental evidence indicates that 54 gratitude is a unique facilitator of reciprocity 55 (Bartlett & DeSteno, 2006; Watkins, Schneer, 56 Ovnicek & Kolts, 2006). After appraising the evidence that gratitude fosters moral behavior, 58 McCullough, Kimeldorf, and Cohen (2008) propose that gratitude evolved to facilitate social exchange. Compelling evidence suggests that 61 gratitude evolved to stimulate not only direct reciprocal altruism but also upstream reciprocity (Nowak & Roch, 2007).

Hypothesis 8: Grateful People Are Spiritually Minded

Several studies have found a relationship 67 between religion, spirituality, and gratitude 68 (Adler & Fagley, 2005; Emmons & Kneezel, 2005; 69 McCullough et al., 2002; Watkins et al., 2003). 70 People with stronger dispositions toward grati- 71 tude tend to be more spiritually and religiously 72 minded. Not only do they score higher on measures of traditional religiousness, but they also scored higher on non-sectarian measures of spirituality that assess spiritual experiences (e.g., 76 sense of contact with a divine power) and sentiments (e.g., beliefs that all living things are 78 interconnected) independent of specific theological orientation. All measures of public and private religiousness in the Emmons and Kneezel 81 (2005) study were significantly associated with 82 both dispositional gratitude and grateful feelings assessed on a daily basis. Although these correlations were not large (ranging from r = .28 to r = .52), they suggest that spiritually or religiously inclined people have a stronger disposition to experience gratitude than do their less spiritual/religious counterparts. Research is also 89 beginning to examine gratitude toward God. 90 Krause (2006) found that gratitude felt toward 91 God reduced the effect of stress on health in late- 92 life adults and deteriorated neighborhood. The 93 stress-buffering effect of theocentric gratitude 94 was more pronounced among the women compared to the men in Krause's (2006) study.

Many world religions commend gratitude as a 97 desirable human trait (see Carman & Streng, 98 1989; Emmons & Crumpler, 2000), which may 99 cause spiritual or religious people to adopt a 100 grateful outlook. Religion also provides texts, 101 teachings, and traditions that encourage gratitude. When contemplating a positive circumstance that cannot be attributed to intentional human effort, such as a miraculous healing or 105 the gift of life itself, spiritually inclined people 106







may attribute these positive outcomes to a nonhuman agent (viz., God or a higher power) and thus experience more gratitude. Third, spiritually inclined people also tend to attribute positive outcomes to God's intervention, but not negative ones (Lupfer, De Paola, Brock, & Clement, 1994; Lupfer, Tolliver, & Jackson, 1996). As a result, many positive life events that are not due to the actions of another person (e.g., pleasant weather, avoiding an automobile accident) may be perceived as occasions for gratitude to 11 God, although negative events (e.g., a long winter, an automobile accident) would likely not be attributed to God. This attributional style, then, is likely to magnify the positive emotional effects of pleasant life events.

Hypothesis 9: Gratitude Facilitates 17

Goal Attainment 18

The possession of and progression toward impor-19 tant life goals are essential for long-term well-20 being (Emmons, 1999). Goal attainment is a 21 major benchmark for the experience of wellbeing. Quality of life therapy (Frisch, 2006) 23 advocates the importance of revising goals, stan-24 dards, and priorities as a strategy for boosting 25 life happiness and satisfaction. Yet goal striving and gratitude or the grateful disposition have not 27 been explicitly linked. In one experimental study 28 on gratitude and well-being, we asked partici-29 pants at the beginning of the gratitude journal-30 ing study to provide a short list of goals they 31 wished to accomplish over the next two months. 33 As these were students, most goals fell into the interpersonal or academic domains. Participants 34 in the gratitude condition, relative to the control 35 and hassles conditions, reported making more 36 progress toward their goals over the 10-week 37 period. The results of this study stand in strong opposition to an empirically undocumented but widely held assumption that gratitude promotes passivity and complacency. On the con-41 trary, gratitude enhances effortful goal striving. 42 Much more future research could examine the goal correlates of gratitude, as well as grateful affect as an emotional regulator of goal-directed

Hypothesis 10: Gratitude Promotes

Physical Health

Gratitude is a mindful awareness of the benefits in one's life. Dwelling on goodness may promote more efficient physical functioning, through either inhibiting unhealthy attitudes and emotions or 52 facilitating more health-promoting inner states. A small number of studies have reported physical health benefits of gratitude, and these relations have been largely independent of trait 56 negative affect (Wood, Joseph, Lloyd, & Atkins, 57 2008). Gratitude interventions have been shown 58 to reduce the bodily complaints, increase sleep 59 duration and efficiency, and promote exercise 60 (Emmons & McCullough, 2003; Wood et al., 61 2008). Experimental research suggests that discrete experiences of gratitude and appreciation 63 may cause increases in parasympathetic myocardial control (McCraty & Childre, 2004), lower 65 systolic blood pressure (Shipon, 2007), as well as 66 improvements in more molar aspects of physical 67 health such as everyday symptoms and physi- 68 cian visits (Emmons & McCullough, 2003). 69 McCraty and colleagues found that appreciation 70 increased parasympathetic activity, a change 71 thought to be beneficial in controlling stress and 72 hypertension, as well as "coherence" or entrainment across various autonomic response channels. Therefore, there might be some direct 75 physiological benefits to frequently experiencing 76 grateful emotions. This line of research con- 77 ducted by McCraty demonstrates a link between 78 positive emotions and increased physiological efficiency, which may partly explain the growing 80 number of correlations documented between positive emotions, improved health, and increased longevity.

Moving Forward: Future Directions

As the evidence we reviewed earlier in the chapter indicates, gratitude interventions in adults 86 consistently produce positive benefits, many of 87 which appear to endure over reasonably lengthy 88 periods of time. Gratitude interventions lead to 89 greater gratitude, life satisfaction, optimism, 90 prosocial behavior (Emmons & McCullough, 91 2003), positive affect (Emmons & McCullough, 92 2003; Watkins et al., 2003, Study 4), and well- 93 being (Lyubomirsky et al., 2005; Seligman et al., 94 2005), as well as decreased negative affect 95 (Emmons & McCullough, 2003; Seligman et al., 96 2005; Watkins et al., 2003, Study 3) compared 97 with controls for up to six months. Similar 98 findings, over shorter follow-up periods, have 99 been documented in youth (Froh et al., 2008). 100 Despite these encouraging results, much remains 101 unknown. We have several suggestions for future 102 research involving gratitude interventions.





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Mechanisms. What are the active ingredients in gratitude interventions? It is not known whether the effects of these activities are relatively specific (e.g., increases in happiness alone) or are more general (e.g., increases in perceived physical health and decreases in negative mood). In addition, no research has attempted to examine the effects of these activities in the context of participants' levels of dispositional gratitude, an established individual difference that may modulate the positive effects of activities aimed at increasing gratitude in one's life (McCullough et al., 2002). The active ingredients may relate to processes of reflecting on things for which one is grateful, or recording these in some way, or expressing them. Until it is known which of these is essential, we cannot state why these exercises work and it is difficult to make informed recommendations about how they might be used. Future research must employ increasingly sophisticated designs using statistical tests of mediating and moderating effects.

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Comparison groups. What is the most appropriate condition to contrast with gratitude? Nearly one-half of the studies that have been published to date found support for gratitude interventions when making contrasts with techniques that induce negative affect (e.g., record your daily hassles). Gratitude interventions have shown limited benefits, if any, over control conditions. Thus, there is a need to better understand whether gratitude interventions are beyond a control condition and if there exists a subset of people who benefit. Perhaps gratitude interventions are differentially effective for groups of people with varying backgrounds. Sample characteristics themselves might show differences. People who are actively seeking positive psychology interventions may have greater expectations for their efficacy compared to college students participating for extra credit or to fulfill a course requirement.

Trait moderators. A moderating effect might be found if pre-existing trait characteristics of people affect their ability to profit from gratitude interventions. Several dispositional factors may moderate the effectiveness of gratitude interventions. Of these, trait affect and dispositional gratitude are obvious candidates for consideration. It seems a reasonable prediction that persons high in positive affect (PA) may have reached an "emotional ceiling" and thus are less susceptible to experiencing gains in well-being. People lower in PA, however, may need more positive events—like expressing gratitude to a benefactor—to

"catch up" to the positive experiences of their 56 peers. Froh, Kashdan, Ozimkowski, and Miller 57 (2009) examined whether individuals differences 58 in positive affective style moderated the effects of 59 a gratitude intervention where youth were 60 instructed to write a letter to someone to whom 61 they were grateful and deliver it to them in person. 62 Eighty-nine children and adolescents were randomly assigned to the gratitude intervention or a 64 control condition. Findings indicated that youth low in PA in the gratitude condition, compared with youth writing about daily events, reported greater gratitude and PA at post-treatment and greater PA at the two-month follow-up. Children 69 and adolescents low in PA in the gratitude condition, compared with the control group, reported 71 more gratitude and PA at two later time points, 72 at three-week and two-month follow-ups. This is 73 an important study because it is the first known 74 randomized controlled trial of a gratitude intervention study in children and adolescents and 76 the first paper to reinterpret the gratitude intervention literature arguing to carefully consider controls groups when concluding the efficacy of 79 gratitude interventions. Furthermore, when considering both youth and adult populations, it is 81 also the first known attempt at investigating 82 positive affect as a moderator.

Then there is dispositional gratitude. Can we 84 expect gratitude inductions to be more effective 85 in increasing the well-being of grateful individuals or less grateful persons? Grateful individuals would be more susceptible to recognizing when others are being kind to them, and more open to perceiving benefits more generally. One could even postulate a gratitude schema (Wood et. al, 91 2008) as an interpretive bias on the part of dispositionally grateful individuals prone to making 93 benevolent appraisals. Alternatively, gratitude 94 interventions might also be more efficacious for 95 individuals low on trait gratitude since they may 96 have more room for improvement on the grati- 97 tude dimension. No published studies have 98 examined dispositional gratitude as a moderator 99 of state gratitude interventions.

Trait gratitude might also interact with trait affect. Froh et al. (2009) found that, compared to the control group, individuals in the gratitude group who were low on positive affect benefited the most from the gratitude intervention. Given the recent evidence on the contribution of positive affect as a moderator, it might also be reasonable to examine the possibility of a curvilinear relationship between trait gratitude and well-being. For example, individuals at the extreme 110







ends of the gratitude distribution might extract the least benefits from gratitude interventions.

The effect of instructional set. The instructions that participants in the gratitude condition are given appear to be essential. The counting blessings gratitude intervention guides participants to reflect on and record benefits in their lives. Participants generally focus on the presence of good things in their lives that they currently enjoy. Yet a recent study found that people's affective states improve more after mentally subtracting positive events from their lives than after thinking about the presence of those events (Koo, Algoe, Wilson & Gilbert, 2008). People wrote about why a positive event might never have happened and why it was surprising or why it was certain to be part of their 17 lives and was not at all surprising. The results showed that the way in which people think about positive life events is critical, namely whether 20 they think about the presence of the events (e.g., 21 "I'm grateful that I was in Professor Wiseman's class") or the absence of the events (e.g., "imag-23 ine I had never met Professor Wiseman!"). The 24 latter impacted positive affect more than did the 25 former. Inasmuch as most previous studies 26 adopted the former approach, asking participants 27 to think about the presence of positive events, the effects of gratitude on well-being may well have been underestimated. Koo et. al adduce that 30 thinking about how events might have not hap-31 pened triggers surprise, and it is surprise that 32 amplifies the event's positivity. Along these lines, 33 another recent study (Bar-Anan, Wilson, & Gilbert, 2009) found that the uncertainty of an 35 event intensifies felt reaction, such that outcomes 36 that are uncertain produce greater emotional 37 reactions. Another recent study found that focus-38 ing on an experience's ending could enhance one's present evaluation of it (Kurtz, 2008). Future gratitude interventions could capitalize on these three studies by giving participants 42 explicit instructions to include in their journals events or circumstances that might not have happened, have turned out otherwise, where the initial outcome may have been uncertain, or increasing an awareness that the experience is 47 soon ending. 48

Dose-Effect Relationship. More than two decades ago, an influential psychotherapy review article reported that by eight sessions of psychotherapy, approximately one-half of patients show a measureable outcome improvement, and that by 26 sessions, this number increases to 75% (Howard, Kopta, Krause, & Orlinksy, 1986).

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Is there an equivalent dose-response relationship 56 for gratitude interventions? Interventions have 57 asked people to keep gratitude journals every day to a few times a week to once a week for 59 10 weeks. While some differences have been 60 reported across these studies, an insufficient 61 number of trials have yet to be conducted such 62 that recommendations could be made with confi- 63 dence. The definition of a dose itself is up for 64 debate. Should a dose be considered a single 65 session of writing in a gratitude journal? Should a minimum time be set for participants to write 67 in their journals each session? We would expect 68 that the greater the degree of elaboration over 69 a simple listing or counting of blessings, the 70 greater would be the potential payoff. But a 71 systematic comparison of the relevant variables 72 that "gratitude dosages" vary on has yet to be 73 conducted.

Gender. Gender may be another critical indi- 75 vidual factor affecting the outcomes of intervention studies. Given the interpersonal correlates and interdependent nature of gratitude, women 78 might have an edge over men in extracting benefits from gratitude interventions. In fact, recent studies have demonstrated significant gender 81 differences in gratitude (Kashdan et al., 2009; 82 Watkins et al., 2003). However, in another recent 83 study by Froh et al. (2009), the usual trend of 84 gender differences couldn't be captured in an 85 adolescent sample. Even though adolescent girls reported more gratitude, adolescent boys appeared 87 to derive more social benefits from gratitude for whom a stronger relationship between gratitude 89 and family support was found.

As an extension of possible gender differences in gratitude, it would be compelling to examine the 92 contribution of gratitude in romantic relationships. Dyadic interventions involving grateful 94 activities might foster higher-quality relationships. For example, a recent study examined the 96 influence of attachment orientations on gratitude in new marital relationships over a period 98 of 21 days (Mikulincer et al., 2006). Daily feel- 99 ings of gratitude for the partner were related to 100 appraisals of partner's behavior (i.e., the higher 101 the level of partner's perceived positive behavior, 102 the greater the gratitude). For both partners, perceived positive behavior by the partner toward 104 the self on one day was significantly associated 105 with greater gratitude toward the partner on that 106 same day. Moreover, in the same study, attach- 107 ment avoidance was found to be associated with 108 lower feelings of gratitude for the partner across 109 the 21 days. However, most interestingly, only







the husband's avoidance orientation moderated the relationship between the perceived partner's behavior and feelings of gratitude (i.e., avoidant husbands reported lower gratitude even on days when they appraised their wife's behaviors to be highly positive). As an extension of these findings, future studies can examine if and why gratitude has the potential of contributing more to the relationship quality for women, compared to men. Given the interdependent and interpersonal nature of gratitude, women might be more 11 susceptible toward perceiving a partner's positive behaviors as gifts and extract more benefits from gratitude in their romantic relationships. Women are expected to expand their caretaking and relational roles, whereas men are expected to focus their emotional expression on the expansion and 17 pursuit of power and status (Brody, 1997, 1999; Stoppard & Gruchy, 1993). Therefore, seeking 19 more of a "provider's" role in marital relation-20 ships, gratitude may trigger feelings of vulnera-21 bility and weakness for men, which they may perceive to be harmful to their masculinity and 23 social standing (Levant & Kopecky, 1995). As a 24 result, men might extract fewer benefits from 25 gratitude to enhance their relationship quality. 26

Enhancing retention in self-guided programs. Gratitude interventions may increase compliance with and the possible success of self-guided therapies in the realms of health management. Given that grateful people tend to take better care of their health, would an intervention to increase gratitude lead a person to stick with their commitments say to reduce weight, eat more nutritionally, exercise, or reduce smoking? Attrition is a major problem, especially in Internet interventions (Christensen, Griffiths, Mackinnon, & Brittliffe, 2006). A recent study found that retention in a two-week intervention for depressed persons was significantly higher for those who completed gratitude journals compared to recording automatic thoughts (Geraghty, Wood, & Hyland, 2010).

Gratitude was effective in both reducing dropout and lowering depression scores, and increased retention by 12% over those recording daily thoughts.

48 The Uniqueness of Gratitude Interventions

An important issue to be addressed in future research concerns the unique contributions that gratitude interventions make to well-being outcomes that distinguish them, say from related positive psychology interventions. The unique- 53 ness of these interventions could be compared with other positive psychological constructs such 55 as forgiveness and hope, both of which have been 56 shown to contribute to well-being (Bono, 57 McCullough, & Root, 2008; Snyder, Rand, & 58 Sigmon, 2002). What is different about gratitude? First, the underlying prosocial and rela- 60 tional nature of gratitude, subsequently leading 61 to strengthened social bonds, might facilitate 62 unique pathways to well-being. Second, gratitude has a fulfillment aspect to it, unlike hope, 64 that might facilitate extraction of benefits via 65 mindful appreciation of both present and past 66 received benefits. For example, given that hope is 67 a positive motivational state driven by goal-directed energy and planning toward reaching 69 future goal(s) (Snyder, 2000), it probably reaches 70 its fruition only in a prospective fashion in the 71 absence of a desired goal—a goal that may or 72 may not be attained. Gratitude has also been 73 shown to be activated strongly by first focusing 74 on absence of benefits (Koo et al., 2008). However, 75 unlike hope, gratitude is almost always felt in 76 retrospection, thereby facilitating a positive cognitive framework toward an already present 78 benefit. Furthermore, gratitude may be extracted 79 from immediate or present life circumstances 80 (e.g., "I am grateful for all the benefits that 81 I received today"), and also from the past (e.g., "I am grateful for the love and support that I received when I was sick two years back"), promoting more expanded positive emotional experience. Besides the retrospective recognition of benefits, gratitude also drives future prosocial 87 motivations (e.g., "I want to return benefits to others who have helped me").

Forgiveness is a motivational and emotional 90 transformation whereby a person relinquishes 91 feelings of past hurts and engages in constructive thoughts and possibly conciliatory actions 93 toward the person who has hurt him or her 94 (McCullough, Worthington, & Rachal, 1997). 95 Given the psychological hurdles preceding forgiveness, such as overcoming past hurts, psychological well-being via forgiveness might be 98 attained more gradually compared to gratitude.

In our laboratory, we recently compared gratitude with these two other positive psychological interventions and a control condition. Online interventions for gratitude, forgiveness, and hope were developed and implemented daily over a two-week period. Participants were randomly assigned to one of four conditions—the gratitude, forgiveness, hope, or control conditions. 107



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In the gratitude condition, participants were asked to focus and engage grateful thoughts and feelings toward multiple gifts received each day. In the forgiveness condition the participants were asked to engage in benefit finding and forgiving thoughts toward an offender each day. In the hope condition, participants were asked each day to write about a goal that they hope to pursue in the future. The control group was asked to list activities attended each day over the two weeks. The four groups also reported their daily emo-11 tions and a daily checklist of spiritual, material-12 istic, prosocial, and grateful activities. 13

Compared to men, women in all three inter-14 vention conditions reported greater levels of 15 both trait and state gratitude. More specifically, 16 for the gratitude composite variable (i.e., appre-17 ciative, thankful, grateful) across the 14 days, 18 gender differences were observed most strongly 19 in the gratitude intervention condition. Women 20 had higher levels of grateful emotions in the 21 gratitude condition, indicating that women were more sensitive to the gratitude intervention. 23 Women also reported higher levels of positive 24 affect in the gratitude condition, compared to 25 men (Mishra & Emmons, 2009). These findings 26 resonate well with the gender differences find-27 ings revealed in recent studies (see Kashdan et al 2009; Watkins et al., 2003). As discussed earlier, 29 the gender differences in gratitude may be 30 explained by the greater susceptibility of women 31 to extract benefits from gratitude because of its 32 utility as an interpersonal emotion. Examining gender differences in gratitude may also lead to further insight into the possibility of genderspecific gratitude interventions that may applied in future studies.

Conclusion

The science of gratitude is young. Even so, considerable progress has already been made in 40 understanding how both state and trait gratitude 41 are conducive to well-being. Of the 10 hypotheses advanced in this chapter, considerable empirical support was found for the majority of them. Some of these have been the object of more 45 research than others, so it may be premature 46 to suggest that a comprehensive evaluation of each has been accomplished. One conclusion that we can draw with confidence is that relation between gratitude and well-being is multiply determined. In particular, we found considerable evidence that gratitude builds social resources by

strengthening relationships and promoting 53 prosocial actions. It is also likely that these 10 hypotheses do not exhaust the possible ways in which gratitude impacts well-being, and future research will undoubtedly uncover additional mechanisms. Toward that end, we offered 58 some suggestions for the design of future studies 59 that will hopefully continue to illuminate the richness and complexity of this social emotion and optimize the practice of gratitude for promoting harmonious intrapsychic and interpersonal functioning.

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CHAPTER 16. WHY GRATITUDE ENHANCES WELL-BEING

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